

# CS-570 Computer Vision

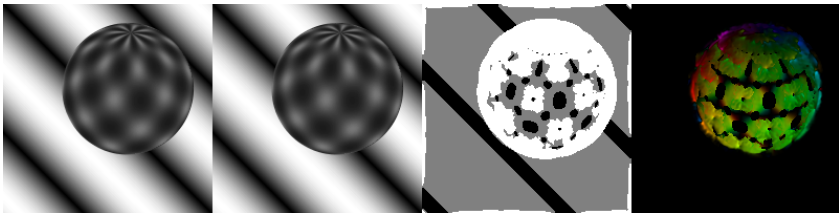
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17. Optic Flow – Global

# Global Optic Flow

- ▶ In the last lecture, we covered the *local* optic flow method of Lucas & Kanade.
  - ▶ Simple and fast.
  - ▶ Low memory requirements.
  - ▶ Results often better than more sophisticated approaches.
  - ▶ **Problems at locations where the local constancy assumption is violated: flow discontinuities and non-translatory motion (e.g. rotation).**
  - ▶ **Does not compute the flow field at all locations.**



- ▶ In this lecture, we study a global method that produces dense flow fields (*i.e.*, at every pixel).

## Variational Method of Horn & Schunck

- ▶ At some given time  $z$  the optic flow field is determined as minimising the function  $(u(x, y), v(x, y))^T$  of the energy functional

$$E(U, V) = \frac{1}{2} \sum_{x,y} \left( \underbrace{(I_x u + I_y v + I_z)^2}_{\text{data term}} + \alpha \underbrace{(\|\nabla u\|^2 + \|\nabla v\|^2)}_{\text{smoothness term}} \right)$$

- ▶ Has a unique solution that depends continuously on the image data.
- ▶ Global method since optic flow at  $(x, y)$  depends on all pixels in both frames.

Notation Alert!

$U$  and  $V$  are 2D arrays of the same size as the frame. *Inside the summation* the flow component  $u(x, y)$  at a pixel location is shortened as  $u$ . Similarly for  $v$ .

## Variational Method of Horn & Schunck

$$E(U, V) = \frac{1}{2} \sum_{x,y} \left( \underbrace{(I_x u + I_y v + I_z)^2}_{\text{data term}} + \alpha \underbrace{(\|\nabla u\|^2 + \|\nabla v\|^2)}_{\text{smoothness term}} \right)$$

- ▶ Regularisation parameter  $\alpha > 0$  determines smoothness of the flow field.
  - ▶  $\alpha \rightarrow 0$  yields the normal flow.
  - ▶ The larger the value of  $\alpha$ , the smoother the flow field.
- ▶ Dense flow fields due to filling-in effect:
  - ▶ At locations, where no reliable flow estimation is possible (small  $\|\nabla I\|$ ), the smoothness term dominates over the data term.
- ▶ This propagates data from the neighbourhood.
- ▶ No additional threshold parameters necessary.

## Functionals and Calculus of Variations

- ▶ Since  $U$  is a function,  $E(U, V)$  is a function of a function. A function of a function is also called a *functional*.
- ▶ Standard calculus can optimize functions  $f(x)$  by requiring  $\frac{d}{dx}f|_{x^*} = 0$ .
- ▶ Functionals are optimized via *calculus of variations*.
- ▶ Optimizer of an energy functional

$$E(U, V) = \sum_{x,y} F(x, y, u, v, u_x, u_y, v_x, v_y)$$

must satisfy the so-called *Euler-Lagrange* equations

$$\partial_x F_{u_x} + \partial_y F_{u_y} - F_u = 0$$

$$\partial_x F_{v_x} + \partial_y F_{v_y} - F_v = 0$$

with some boundary conditions.

## Functionals and Calculus of Variations

- ▶ For our energy functional  $E(U, V)$ ,

$$F = \frac{1}{2} (I_x u + I_y v + I_z)^2 + \frac{\alpha}{2} (u_x^2 + u_y^2 + v_x^2 + v_y^2)$$

with partial derivatives

$$F_u = I_x (I_x u + I_y v + I_z)$$

$$F_v = I_y (I_x u + I_y v + I_z)$$

$$F_{u_x} = \alpha u_x$$

$$F_{u_y} = \alpha u_y$$

$$F_{v_x} = \alpha v_x$$

$$F_{v_y} = \alpha v_y$$

## Variational Method of Horn & Schunck

- ▶ So the Euler-Lagrange equations can be written as

$$\alpha(u_{xx} + u_{yy}) - I_x(I_x u + I_y v + I_z) = 0$$

$$\alpha(v_{xx} + v_{yy}) - I_y(I_x u + I_y v + I_z) = 0$$

- ▶ Laplacian  $\Delta u = u_{xx} + u_{yy}$  can be written as  $\frac{1}{h^2} \sum_{j \in \mathcal{N}_i} (u_j - u_i)$ .
- ▶ At the  $i$ th pixel, after writing out the first and second order derivatives, we obtain

$$\frac{\alpha}{h^2} \sum_{j \in \mathcal{N}_i} (u_j - u_i) - I_{xi} (I_{xi} u_i + I_{yi} v_i + I_{zi}) = 0$$

$$\frac{\alpha}{h^2} \sum_{j \in \mathcal{N}_i} (v_j - v_i) - I_{yi} (I_{xi} u_i + I_{yi} v_i + I_{zi}) = 0$$

where  $h$  is the grid size (usually 1).

## Variational Method of Horn & Schunck

- ▶ Two equations for every pixel.

$$\frac{\alpha}{h^2} \sum_{j \in \mathcal{N}_i} (u_j - u_i) - l_{xi} (l_{xi} u_i + l_{yi} v_i + l_{zi}) = 0$$

$$\frac{\alpha}{h^2} \sum_{j \in \mathcal{N}_i} (v_j - v_i) - l_{yi} (l_{xi} u_i + l_{yi} v_i + l_{zi}) = 0$$

- ▶ But computing  $(u_i, v_i)$  requires already knowing the flow  $(u_j, v_j)$  at the neighbours  $j \in \mathcal{N}_i$ .
- ▶ Approximate solution: start with an initial flow field  $(U^{(0)}, V^{(0)})$ .



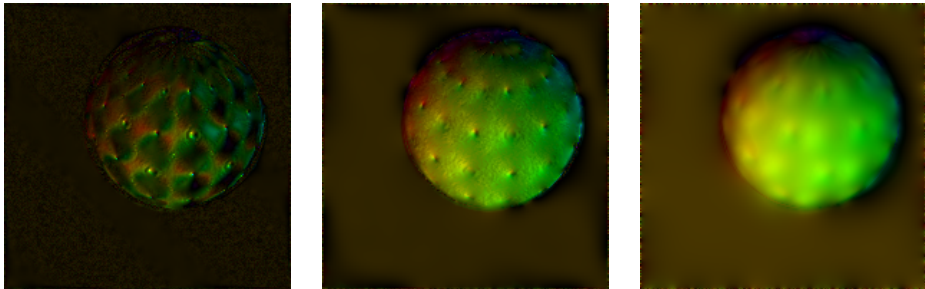
## Variational Method of Horn & Schunck

- ▶ Can be solved via *fixed-point iterations*

$$u_i^{(t+1)} = \frac{\frac{\alpha}{h^2} \sum_{j \in \mathcal{N}_i} u_j^{(t)} - l_{xi} (l_{yi} v_i^{(t)} + l_{zi})}{\frac{\alpha}{h^2} |\mathcal{N}_i| + l_{xi}^2}$$
$$v_i^{(t+1)} = \frac{\frac{\alpha}{h^2} \sum_{j \in \mathcal{N}_i} v_j^{(t)} - l_{yi} (l_{xi} u_i^{(t)} + l_{zi})}{\frac{\alpha}{h^2} |\mathcal{N}_i| + l_{yi}^2}$$

with  $k = 0, 1, 2, \dots$  and an arbitrary initialisation (e.g. zero vector).

# Variational Method of Horn & Schunck



**Figure:** Left to right: Dense and smooth optic flow fields obtained via Horn & Schunck's variational method for smoothness parameter  $\alpha = 0.0000001, 0.00001$  and  $0.001$  after 400 iterations. Noise smoothing scale was  $\sigma = 0.5$ . Author: N. Khan (2018)

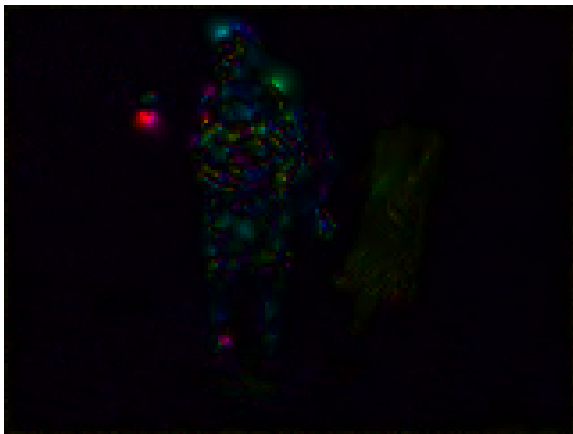
# Variational Method of Horn & Schunck



# Variational Method of Horn & Schunck

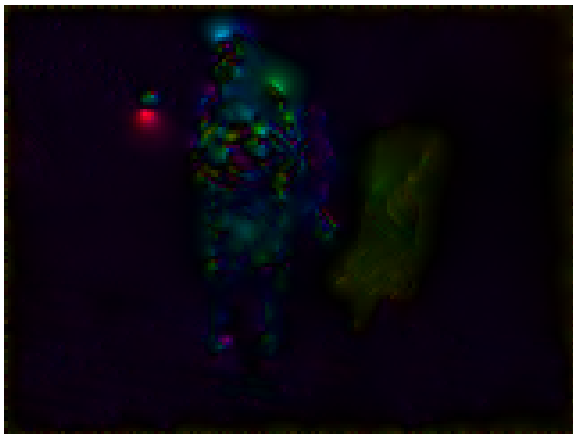


# Variational Method of Horn & Schunck



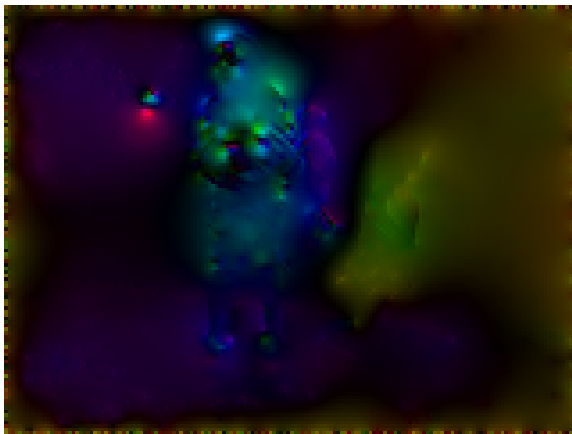
**Figure:** Left to right: Dense and smooth optic flow fields obtained via Horn & Schunck's variational method for smoothness parameter  $\alpha = 0.0001$  after 400 iterations. Noise smoothing scale was  $\sigma = 0.5$ . Author: N. Khan (2018)

# Variational Method of Horn & Schunck



**Figure:** Left to right: Dense and smooth optic flow fields obtained via Horn & Schunck's variational method for smoothness parameter  $\alpha = 0.001$  after 400 iterations. Noise smoothing scale was  $\sigma = 0.5$ . Author: N. Khan (2018)

# Variational Method of Horn & Schunck



**Figure:** Left to right: Dense and smooth optic flow fields obtained via Horn & Schunck's variational method for smoothness parameter  $\alpha = 0.01$  after 400 iterations. Noise smoothing scale was  $\sigma = 0.5$ . Author: N. Khan (2018)

# Variational Method of Horn & Schunck

## Summary

- ▶ Variational methods for computing optic flow are global methods.
- ▶ Create dense flow fields by filling-in.
- ▶ Model assumptions of the variational Horn & Schunck approach:
  1. grey value constancy,
  2. smoothness of the flow field
- ▶ Mathematically well-founded method.
- ▶ Minimising the energy functional leads to coupled differential equations.
- ▶ Variational methods can be extended and generalised in numerous ways, with respect to both models and algorithms.